



Case Docket No. PERMLT.019C1

Date: May 28, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

John Popovich, et al.

Appl. No.

10/789,357

Filed

February 27, 2004

For

MOUNTING ARRANGEMENT

FOR LIGHT EMITTING

DIODES

Examiner

Unknown

Group Art Unit:

Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

May 28, 2004

Glen L. Nuttall, Reg. No. 46,188

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 listing thirty (33) references, one of which is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Glen L. Nuttall

Registration No. 46,188

Attorney of Record

Customer No. 20,995

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Docket No.: PERMLT.019C1

INFORMATION DISCLOSURE STATEMENT

Applicant

John Popovich, et al.

App. No.

: 10/789,357

Filed

: February 27, 2004

For

MOUNTING ARRANGEMENT FOR

LIGHT EMITTING DIODES

Examiner

Unknown

Group Art Unit

Unknown

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 33 references, 30 of which are of record in U.S. patent application No. 09/693,548, filed October 19, 2000, which is the parent of this continuation application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of most of the references are not submitted pursuant to 37 C.F.R. § 1.98(d) and the PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003.

Applicants are informed that only pages 1-5 of the document entitled "Thermal Management Considerations for Super Flux LEDs, Application Note 1149-4" were submitted in the parent application. The full article, including pages 1-11, is submitted herewith.

Appl. No.

10/789,357

5/28/04

Filed

February 27, 2004

Docket No. PERMLT.019C1

Customer No. 20,995

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

By:

Glen L. Nuttal

Registration No. 46,188

Attorney of Record

Customer No. 20,995

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FORM PTO-1449
OFF CAFORMATIC

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY, DOCKET NO. PERMLT.019C1

APPLICATION NO. 10/789,357

FORMATION DISCLOSURE STATEMENT
BY APPLICANT

APPLICANT
John Popovich, et al.

E SEVERAL SHEETS IF NECESSARY)

FILING DATE February 27, 2004 GROUP Unknown

RADENA **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT NUMBER DATE NAME CLASS **SUBCLASS** FILING DATE INITIAL (IF APPROPRIATE) 3,936,686 02/03/76 Moore 03/06/79 2 4,143,411 Roberts 3 4,591,954 05/27/86 Kawamura, et al. 4 4,612,606 09/16/86 Roberts 01/19/88 5 4,720,773 Ahroni 6 4,761,720 08/02/88 Solow 7 4,855,882 08/08/89 Boss 8 4,908,743 03/13/90 Miller 9 5,103,382 04/07/92 Kondo, et al. 10 5,222,799 06/29/93 Sears, et al. 5,499,170 03/12/96 Gagne 03/04/97 12 5,607,227 Yasumoto, et al. 13 5,660,461 08/26/97 Ignatius, et al. 5,697,175 14 12/16/97 Schwartz 15 5,746,497 05/05/98 Machida 16 5,857,767 01/12/99 Hochstein 17 6,042,248 03/28/00 Hannah, et al. 18 6,045,240 04/04/00 Hochstein 19 6,244,728 B1 06/12/01 Cole, et al. 20 6,350,039 B1 02/26/02 Lee 21 6,396,466 05/28/02 Pross, et al. 22 6,428,189 08/06/02 Hochstein 23 6,505,956 01/14/03 Priddy, et al. 24 6,517,218 02/11/03 Hochstein 25 6,566,824 05/20/03 Panagotacos, et al.

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ATTY. DOCKET NO. APPLICATION NO. U.S. DEPARTMENT OF COMMERCE **FORM PTO-1449** 10/789,357 PATENT AND TRADEMARK OFFICE PERMLT.019C1 FORMATION DISCLOSURE STATEMENT **BY APPLICANT** APPLICANT John Popovich, et al. E SEVERAL SHEETS IF NECESSARY) **GROUP** FILING DATE February 27, 2004 Unknown

26	6,578,986	06/17/03	Swaris, et al.		
27	6,582,100	06/24/03	Hochstein, et al.		
28	6,582,103	06/24/03	Popovich, et al.		
29	2001/0015891	08/23/01	Suzuki, et al.		

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)				
	30	HEWLETT PACKARD, Super Flux LED's, Pages 1-25, 1-26, and ii.			
	31	HEWLETT PACKARD, Angeline Wong, Subminiature InGaN Blue Lamps, Pages 1-2, August 4, 1998.			
	32	HEWLETT PACKARD, Thermal Management Considerations for Super Flux LEDs, Application Note 1149-4, Pages 1-11.			
	33	HEWLETT PACKARD, Subminiature High Performance AllnGaP LED Lamps, Technical Data, Pages 1-161 – 1-162.			

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